the Paperwork Re

PTO/SB/21 (09-04) (AW 10/2004)
Approved for use through 7/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

tion Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL **FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 5 + 2 References + 1 Chinese Office Action

		_
Application Number	09/691,298	
Filing Date	October 18, 2000	
First Named Inventor	Junji Yoshida, et al.	
Art Unit	2665	
Examiner Name	Alpus Hsu	
Attorney Docket No.	MTS-3217US	

ENCLOSURES (Check all that apply)				
Fee Transmittal Form Fee Attached	Drawing(s) Licensing-related Papers	After Allowance Communication to TC		
Amendment/Reply After Final Affidavits/Declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement (Supplemental) Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application	Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation, Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD	Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): PTO Form 1449 2 References 1 Chinese Office Action Return Postcard		
Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks:			
SIGNAT	JRE OF APPLICANT, ATTORNEY OR AG	ENT		
Firm Name RatnerPresta				
Signature				
Printed Name Jack J. Jankovitz				
Date January 20, 2005	, Registration No.	42,690		
CERTIFICATE OF TRANSMISSION / MAILING				
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an exvelope addressed to Commissione for Patens, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:				
Signature				
Typed or Printed Name Jack J. Jankovitz	MILL	Date January 20, 2005		
This collection of information is required by 7 CER 1.5. The information is required to obtain as ratein a bonefit by the public which is to file (and by the				

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 121 and 17 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

09/691,298

Applicant: Filed:

Junji Yoshida, et al. October 18, 2000

Title:

DATA SOURCE, DATA CONVERSION DEVICE, INVERSE DATA CONVERSION DEVICE, AUXILIARY DATA FILE GENERATION DEVICE, RECEPTION METHOD, MEDIUM

AND INFORMATION AGGREGATE

TC/A.U.:

2665

Examiner:

Alpus Hsu

Confirmation No.: 4620 Docket No.: MTS-

MTS-3217US

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of the references listed on the 1449 form is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following reference is not in the English language:

1) CN 1224200

Reference 1) has a counterpart English language patent attached and was cited by a foreign patent examining authority in connection with the examination of a counterpart of the above identified application. A copy of this Chinese Official

MTS-3217US PATENT

Action, with English language translation, indicating the degree of relevance determined by the foreign patent office, is submitted herewith. MPEP 609 IIIA(3), second paragraph.

More than three months have elapsed since the filing of the above-referenced application and a first (non-Final) Official Action has been received. No Final Action or Notice of Allowance has yet been received and it is presumed that none has yet been mailed. Accordingly, as more specifically indicated below, a statement as required by 37 C.F.R. § 1.97(c) is provided herewith.

STATEMENT

The undersigned hereby states that each item of information disclosed in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Daniel N. Calder, Reg. No. 27,424 Jack J. Jankovitz, Reg. No. 42,690

Attorneys for Applicants

DNC/ds

Enclosures: PTO Form 1449 (2 sheets)

Chinese Official Action

(#2) References Transmittal Form

Dated: January 20, 2005

P.O. Box 980 Valley Forge, PA 19482-0980 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

January 20, 200**5**

DAS_I:\MTS\3217US\IDS_02.DOC

Page 2 of 2

/ALA/	NRM3

Substitute for Form 1449A/PTO INFORMATION DISCLO STATEMENT BY APPLICANT

Complete if Known 09/691.298 **Application Number** October 18, 2000 Filing Date Junji Yoshida, et al. First Named Inventor 2665 Art Unit Alpus Hsu **Examiner Name** Attorney Docket No. MTS-3217US

(Use as many sheets as necessary)

SHEET 1 of 2

U.S. PATENT DOCUMENTS Document Number Pages, Columns, Lines, Where Name of Patentee or Relevant Passages or Relevant Examiner Cite **Publication Date** Number - Kind Code^{2 (if known)} Initials* No.1 (MM-DD-YYYY) Applicant of Cited Document Figures Appear US-6,801,340 B1 (w/ 10-05-2004 **ENDO** counterpart CN 1224200A) US-US-US-US-US-US-US-US-US-US-US-US-US-US-US-US-

FOREIGN PATENT DOCUMENTS						
		Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Examiner Cite Initials* No.1	Country Code ³ - Number ⁴ - Kind Code ^{5 (If known)}	Publication Date (MM-DD-YYYY)	Applicant of Cited Document	Passages or Relevant Figures Appear	т6	
		CN 1224200A (w/counterpart US 6,801,340 B1)	07-28-1999	ENDO		

Examiner	Date	
Signature	Considered	

*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard S1.16 if possible.

*Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).
²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.
³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

Substitute for Form 1449B/PTO

SHEET 2 of 2

INFORMATION DIS STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known		
Application Number	09/691,298	
Filing Date	October 18, 2000	
First Named Inventor	Junji Yoshida, et al.	
Art Unit	2665	
Examiner Name	Alpus Hsu	
Attorney Docket No.	MTS-3217US	

NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
		Chinese Official Action, mailed October 22, 2004 with English translation	\boxtimes
	L	<u> </u>	l

Examiner	Date	
Signature	Considered	l .

¹Applicant's unique citation designation number (optional).

¹Applicant's unique citation designation number (optional).
²Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**Trademark Office of Patents of Commissioner for Patents of Commissioner for Patents of Commissioner for Commissioner for Patents of Commissioner for Patents of Commissioner for Patents of Commissioner for Patents of Commissioner for Commissioner for Patents of Commissioner for Commissione

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.